Mantle convection, plate boundaries and plasticity.

Dave May
Louis Moresi
Hans Mühlhaus
Mirko Velic
Wendy Sharples
Dave Stegman
Outline

- Observations about plate boundaries
- Isotropic formulations
- Anisotropic formulations
- Some numerical experiments

Rheological
Outline

- Observations about plate boundaries
- Isotropic formulations
- Anisotropic formulations
- Some numerical experiments
- The Material Point Method
- A Schur complement preconditioner for variable viscosity

Rheological

Numerical
Different plate boundary environments have different geological structures ...

- On the global scale they are very narrow “linear” features
- But these lines have an internal structure (distinctive geology)
- The development of this structure probably influences the large-scale plate boundary geometry and properties.
- 2nd inv. of strain-rate does not show significant difference between different plate boundary types
Plate boundaries deformations — up close?

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Depends upon stress orientation as well as magnitude
Plate boundaries are **systems** with plenty of small scale physics going on.

- continuum codes need to use an appropriately scaled representation of the physics at the large scale.
- kinematics of plate boundary evolution is very well documented.
Lithospheric faults

Macro-scale faults are effective for accurate models of subduction zones

- Asymmetry
- Accurate trench topography
- More plate-like surface deformation

Zhong, Gurnis
Anisotropic formulation

- Rather than constrain velocity directly, construct a rheology which produces a similar effect.

- Oriented slip surface on a particle (small scale). Strong in the normal dir., weak in the tangential dir.

- An ensemble of such particles can produce a macroscopic feature (fault).

- Connections to multiscale methods.

  + Include observed fabric and orientation into dynamic models where we know that information.

  + Easy to implement in a particle code.
A basic formulation for convection with various failure modes

Equation of motion for

- Viscoplastic behaviour

Constitutive laws for various yielding behaviours

- “Granular” flow
- Prescribed fault zone fabric
- Anderson faulting behaviour
**Governing Equations**

\[
\tau_{ij,j} - p_{,i} = \rho(\phi, C, \ldots) g_i
\]

\[
u_{i,i} = 0
\]

Momentum and Mass conservation

\[
\frac{\tau_{ij}}{\eta} + \alpha \Lambda_{ijkl} \tau_{kl} = \frac{1}{2} \left[ \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right]
\]

Flow law

\[
\phi_t + u_i \phi_i = (\kappa \phi_i)_i + Q
\]

Energy conservation

\[
C_{,t} + u_i C_{,i} = 0
\]

Material tracking

Viscoelastic stress prediction

Plastic correction to yield surface

Failure models
\[
\bar{S}_{ijkl} \tau_{kl} = D_{ij} \quad \text{[Compliance equation or flow law]}
\]

\[
(S_{ijkl} + S'_{ijkl}) \tau_{kl} = D_{ij}
\]

isotropic anisotropic

\[
\bar{C}_{ijkl} \bar{S}_{ijkl} \tau_{kl} = \bar{C}_{ijkl} D_{kl} \quad \bar{C}_{ijkl} \bar{S}_{ijkl} = \delta_{ik} \delta_{jl}
\]

\[
\tau_{ij} = \bar{C}_{ijkl} D_{kl}
\]

\[
= (C_{ijkl} + C'_{ijkl}) D_{kl}
\]

\[
C_{ijkl} = 2\eta \delta_{ik} \delta_{jl} \quad \text{isotropic}
\]

\[
C'_{ijkl} = -\beta \Pi_{ijkl} \quad \text{anisotropic}
\]
Compliance & Constitutive behaviour

\[ \tau_{ij} = 2\eta D_{ij} - \beta \Pi_{ijkl} D_{kl} \quad \text{[Constitutive equation]} \]

\[ = T_{ij} - \beta \Pi_{ijkl} D_{kl} \]

How do we define the correction term \( \beta \Pi_{ijkl} \)？
Yielding

\[ f(\mathbf{\tau}) < 0 \]

\[ \tau_{ij} = T_{ij} - \beta \Pi_{ijkl} D_{kl} \]

- Process is nonlinear

\[ T_{ij}^{(I)} = 2\eta^{(I)} D_{ij}^{(I)} \]

- Start with a yield criterion and develop a constitutive model with the smallest number of free parameters which we can vary to ensure the yield criterion can be satisfied.

OR

- Try to find a flow rule which matches the observed deformation pattern & which can be used in conjunction with the rest of the convection formulation.
Drucker-Prager yield criterion

\[ |\tau| - p\tan \varphi - C \leq 0 \]

Standard viscous / viscoplastic behaviour

\[ \tau_{ij} = 2\eta D_{ij} + 2(\eta' - \eta)D_{ij} \]

\[ \eta'(I) = \frac{\tan \varphi p(I) + C}{2|D(I)|} \]
Yield criterion for an individual fault fragment

\[
\tau_s - \sigma_n \tan \varphi - C \leq 0 \quad \sigma_n = n_i \tau_{ij} n_j + p \quad \tau_s = s_i \tau_{ij} n_j
\]

Standard viscous / viscoplastic behaviour

\[
\tau_{ij} = 2\eta D_{ij} + 2(\eta' - \eta) \Pi_{ijkl} D_{kl}
\]

\[
\eta'(I) = \tan \varphi \left(2\eta D_{nn}^{(I)} + p^{(I)}\right) + C
\]

\[
\Pi_{ijkl} = -2n_in_jn_kn_l + (n_in_k\delta_{lj} + n_jn_k\delta_{il} + n_in_l\delta_{kj} + n_jn_l\delta_{ik} + ) / 2
\]
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Anderson faulting model

\[ \tau_s - \sigma_n \tan \varphi - C \leq 0 \]

Standard viscous constitutive law

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As before but this time the orientation is determined locally by the ambient stress tensor.
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Example (1): Extension

- Ridge
- Anisotropic
- Viscous
Example (1): Extension

Louis
Example (2): Shear

Transform

Anisotropic

Viscous

Louis
Example (2): Shear
Example (3): Subduction

Buoyant arc: Anisotropic, fixed orientation (red)
Overriding plate: Strong viscous (brown)
Upper layer: Visco-plastic (yellow)
Core: Viscous (green)
Lower layer: Visco-plastic (blue)
Example (3): Subduction

Wendy Sharples
In the style of the Material Point Method
“Fixed mesh with moving particles”

- Standard mixed Finite Elements used to obtain the velocity and pressure solution.
- Inherits robustness, versatility of FEM
- Admits general constitutive relations

- Lagrangian reference frame for:
  - Compositional tracking
  - Stress- history tensor
  - Plastic strain history (scalar / tensorial)
  - Material orientation (anisotropy)

This is the approach adopted in Underworld
www.mcc.monash.edu.au
Material Point Method

The connection between the FE formulation and the Lagrangian points is via evaluation of the weak form.

\[ K^E = \int_{\Omega_E} B^T(x)C(x)B(x)d\Omega \]

\[ K^E = \sum_p \omega_p B_p^T(x_p)C_p(x_p)B_p(x_p) \]

Lagrangian points coincide with the quadrature points used to evaluate the weak form.
- Quadrature weights are defined locally over each element.
- Weights are given by an approximate Voronoi diagram.

The constitutive behaviour is associated with each particle “p” and is thus naturally incorporated into the quadrature.
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The discrete form of the momentum and continuity generated by the FEM may be expressed as

\[
\begin{pmatrix}
K & G \\
G^T & 0
\end{pmatrix}
\begin{pmatrix}
u \\
p
\end{pmatrix}
=
\begin{pmatrix}
f \\
h
\end{pmatrix}
\]

The MPM formulation provided the means to discretize the Stokes flow. But the issue of obtaining the flow field \((u,p)\) is now a linear algebra problem...
Iterative solution techniques for Stokes’ flow

The ideal approach should be optimal in the sense that the convergence rate of method will be bounded independently of

- any discretisation parameters (Example; grid resolution)
- the constitutive parameters (Example; smoothly varying viscosity vs. discontinuous viscosity)
- the constitutive behaviour (Example; isotropic vs. anisotropic)
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This is a very challenging set of requirements. In many fields, people are typically happy with only satisfying the first requirement!!
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Our approach decouples the velocity and pressure unknowns. We solve

\[ S p = G^T K^{-1} f - h, \]

where \( S = G^T K^{-1} G \)

for pressure using an (outer) iterative method. This requires the operation,

\[ y = S x \]

S is defined in a matrix-free sense (i.e. not explicitly formed). The action of the inverse of K applied to vector necessitates another (inner) iterative method to define;

\[ u^* \approx K^{-1} f^* \]
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EFFECTIVE PRECONDITIONERS FOR S ARE REQUIRED
Given \( S = G^T K^{-1} G \), we let
\[
K_d = \text{diag}(K),
\]
then an approximate Schur complement is
\[
\hat{S} = G^T K_d^{-1} G. \quad \text{(explicit)}
\]
Schur complement preconditioners

Simple diagonal approximation to $S$

Given $S = G^T K^{-1} G$, we let

$$K_d = \text{diag}(K),$$

then an approximate Schur complement is

$$\hat{S} = G^T K_d^{-1} G.$$ (explicit)

Preconditioning requires us to define the operation

$$y = \hat{S}^{-1} x.$$

This can be given via a factorisation such as Incomplete Cholesky, ICC(k)

The choice

$$\hat{S}_d = \text{diag} \left( G^T K_d^{-1} G \right)$$

is often made. The mantle convection code CITCOM is one example.

We will use $\hat{S}_d^{-1}$ as a reference preconditioner in our comparisons.
Schur complement preconditioners

Simple diagonal approximation to $S$

Given $S = G^T K^{-1} G$, we let

$$K_d = \text{diag}(K),$$

then an approximate Schur complement is

$$\hat{S} = G^T K_d^{-1} G.$$ (explicit)

Observation: We don't anticipate the diagonal preconditioner to be spectacular since it ignores ALL the coupling in the $K$ block.

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For isoviscous Stokes, the commutator relation used is:

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\bar{Z} = (\eta \nabla^2) \nabla - \nabla (\eta \nabla^2) = 0
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Minimise via the normal equation to give

- $K_p = (G^T G)^{-1} G^T KG$

Assuming $Z = 0$, we can define

- $\hat{S}_b = (G^T G) K_p$
Approximate Commutator

This leads to the preconditioner

\[
\hat{S}_b^{-1} = K_p^{-1} (G^T G)^{-1} \\
= (G^T G^{-1}) G^T K G (G^T G)^{-1}
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which is the BFBt preconditioner from; Elman, *SIAM J. Sci. Comput.*, 17 (1996)
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+ No inversion of $K$ required
+ Coupling in $K$ preserved
- Two Poisson solves required
+ Most problems require low precision Poisson solves

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For finite elements, the assumption $Z \sim 0$, is not automatically enforced. Scaling of the operators $K$ and $G$ is essential to reduce $|Z|$.  

$$\begin{pmatrix} X_1 & 0 \\ 0 & X_2 \end{pmatrix}^{-1} \begin{pmatrix} K & G \\ G^T & 0 \end{pmatrix} \begin{pmatrix} X_1 & 0 \\ 0 & X_2 \end{pmatrix}^{-T} = \begin{pmatrix} K_s & G_s \\ G_s^T & 0 \end{pmatrix}$$

We let both $X_1, X_2$ be diagonal matrices and aim to enforce;

$$K_s \sim I \implies X_1^{-1} K X_1^{-T} \sim I$$

$$G_s^T G_s \sim I \implies G^T (X_1^{-T} X_1^{-1}) G \sim X_2 X_2^T$$
Effect of the scaling, X

Scaling becomes more important as the viscosity contrast increases.
Prototypical Geodynamic Simulations

- As a starting point we only consider isotropic constitutive laws.
- Defined by single parameter, fluid viscosity $\eta$.
- Only consider requirements 1 and 2

Element resolutions $= \left\{ \frac{1}{32}, \frac{1}{64}, \frac{1}{128}, \frac{1}{256} \right\}$

Viscosity contrasts $= \{10, 10^3, 10^6, 10^8\}$
“$\exp(y)$”
$h$ - dependence

\[ \text{Exp}(y) \]

![Graph showing $h$-dependence with plots for diag and bfbt methods.]

- Diagram 1: Iterations vs. Elements, mx
- X-axis: Elements, mx (50 to 250)
- Y-axis: Iterations
- Two lines: diag and bfbt
- diag line is flat at around 10 iterations
- bfbt line is flat at around 10 iterations
“Exp(y)”

\[ h \text{ - dependence} \]

\[ \eta \text{ - dependence} \]

![Graph showing iterations vs. elements for diag and bfbt methods.](image1)

![Graph showing iterations vs. viscosity contrast for diag and bfbt methods.](image2)
“Step(x)”
“Step(x)”

$h$ - dependence

- **diag**
- **bfbt**

Graph showing iterations against elements (mx) for different methods.
“Step(x)”

$h$ - dependence

$\eta$ - dependence

![Graphs showing $h$-dependence and $\eta$-dependence with iterations and viscosity contrast as variables.](image)
“Viscous sinker”
“Viscous sinker”

$h$ - dependence

![Graph showing iterations vs. elements, with lines labeled diag and bfbt.](image)
“Viscous sinker”

**h - dependence**

**η - dependence**

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<th>Iterations</th>
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<td>250</td>
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<table>
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Applications: Localisation

Toy problem
Simple shear boundary conditions
Lx = Ly = 1
Band thickness: 1/64
Element resolution: 256 x 256
Applications: Localisation

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Applications: Subduction

Take one of Dave Stegmans slabs... [Stegman etal. PEPI, In review (2008)]
Rheological layering within the plate
3 layers: viscoplastic - viscous - viscoplastic sandwich
Maximum viscosity contrast is ~ 100

K solve: FGMRES/GMG
Lp solve: CG/ML
Fully parallel
Applications: Subduction

![Graph showing convergence of FGMRES+BFBt and CG+diag(S) iterations](image-url)
Applications: Subduction

Similar convergence rates up to here

- Log10(||r||/||r0||) vs. Iterations
  - FGMRES+BFBt
  - CG+diag(S)
Applications: Subduction

- Similar convergence rates up to here
- BFBt continues to converge at same rate.
- Diagonal preconditioner stagnates.

Graph:
- Log scale for convergence of FGMRES+BFBt and CG+diag(S) against iterations.
Applications: Subduction

Similar convergence rates up to here

BFBt continues to converge at same rate.
Diagonal preconditioner stagnates.

Speedup factor obtained using BFBt was 9.4
Summary

We considered two avenues to advance the numerical modelling of mantle convection/plate boundary

1) Rheology

The anisotropic rheologies being investigated are starting to produce promising looking fault structures. More research is required to fully understand their behaviour and their use in representation plate boundaries.

2) Preconditioners

The scaled BFBt preconditioner has proven to be an effective technique to solve discrete Stokes’ problems relevant to geodynamics.

Whilst it is not optimal for all experiments, the new approach is significantly faster and more robust than the commonly used diagonal approximation.
THANKS

Questions?